Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet ____ of 2

| Complete if Known | |
|---|---|
| Application Number | 09/681242 |
| Filing Date | 3/5/2001 |
| Applicants | Porcari |
| Group Art Unit | 2131 2132 |
| Examiner Name | G Hayes SAMSON LEMMA |
| Attorney Docket Number | 201-0261 |

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## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No.¹ | DOCUMENT NUMBER Code² | Kind (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|---|
| S·L | | 6041303 | | Mathews | 03-21-00 | |
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## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No.¹ | Foreign Patent Document Office³ | Number⁴ | Kind Code⁵ (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T⁶ |
|---|---|---|---|---|---|---|---|---|
| S·L | | EP | 0475869 | A2 | Hager et al. | 03-18-92 | | |
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EXAMINER _____     DATE CONSIDERED 07/05 2005

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number.   ²Applicant is to place a check mark here if English language Translation is attached.   ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).   ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.   ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.
⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

| | Complete if Known | |
|---|---|---|
| Application Number | 09/681242 | |
| Filing Date | 3/5/2001 | |
| Applicants | Porcari | |
| Group Art Unit | 2131 2132 | |
| Examiner Name | G. Hayes SAMSON LEMMA | |
| Sheet 2 of 2 | Attorney Docket Number | 201-0261 |

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#9

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIAL* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T[2] |
|---|---|---|---|
| SiL | | Metastorm e.works 5.2 software as used in the UK Patent Office – feature sheet included. Note e-work notify – active notification i.e. prompting. Note also the web site relating to this software at http://www.metastorm.com/products/overview_index.asp | |
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| EXAMINER | | DATE CONSIDERED | 04/08/2005 |
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[1]Unique citation designation number.   [2]Applicant is to place a check mark here if English language Translation is attached.   [3]Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).   [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.   [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.   [6]Applicant is to place a check mark here if English language Translation is attached.

#8

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

| | | **Complete if Known** |
|---|---|---|
| Application Number | | 09/681242 |
| Filing Date | | 3/5/2001 |
| Applicants | | Porcari |
| Group Art Unit | | ~~2131~~ 2132 |
| Examiner Name | | ~~Not Yet Known~~ Samson Lemma |

| Sheet | 1 | of | 2 | Attorney Docket Number | 201-0261 |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No.[1] | DOCUMENT NUMBER Code[2] | Kind (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|---|
| S·L | | 5987464 | | Schneider | 11-16-99 | |
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## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No.[1] | Office[3] | Number[4] | Kind Code[5] (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|---|---|
| S·L | | JP | 10126408 | | Izumi et al. | 5-15-98 | | |
| S·L | | WO | 01/26002 A1 | | Collins et al. | 4-12-01 | | |
| S·L | | WO | 01/35277 A1 | | Gabrick et al. | 5-17-01 | | |
| S·L | | WO | 00/54185 | | Kirkpatrick et al. | 9-14-00 | | |
| | | WO | 01/08031 A2 | | Morris et al. | 2-1-01 | | |
| | | EP | 1049027 A2 | | Ogawa et al. | 22-2-00 | | |
| | | NL | 9100425 | | Groningen | 3-8-91 | | |
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EXAMINER _Samson Lemma_       DATE CONSIDERED _04/05/2005_

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

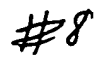[1]Unique citation designation number. [2]Applicant is to place a check mark here if English language Translation is attached. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449B/PTO | | | Complete if Known | | |
|---|---|---|---|---|---|
| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** | | | Application Number | 09/681242 | |
| | | | Filing Date | 3/5/2001 | |
| | | | Applicants | Porcari | |
| *(use as many sheets as necessary)* | | | Group Art Unit | ~~2131~~ 2132 | |
| | | | Examiner Name | ~~Not Yet Known~~ SAMSON LEMMA | |
| Sheet | 2 | of 2 | Attorney Docket Number | 201-0261 | |

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIAL* | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |
|---|---|---|---|
| S.L | | IBM Patent Server/ College of Engineering, University of Wisconsin-Madison [retrieved 3-7-2002]. Retrieved from the internet via: http://www.engr.wisc.edu/news/low/1997/Nov24.html | |
| S.L | | Delphion/ History/ The Delphion Story [retrieved 3-7-2002]. Retrieved from the internet via: http://www.delphion.com/about-history | |
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EXAMINER _Samson Lemma_     DATE CONSIDERED _04/05/2008_

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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

#4

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet _1_ of _1_

| Complete if Known | |
|---|---|
| Application Number | 09/681242 |
| Filing Date | 3/5/01 |
| Applicants | Porcari |
| Group Art Unit | Not Yet Known 2132 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 201-0261 |
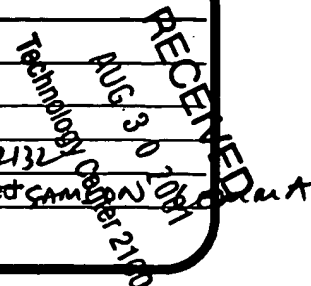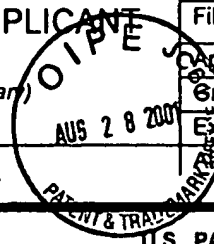
## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No. 1 | DOCUMENT NUMBER Code2 | Kind (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|---|
| S·L | | 5175681 | | Iwai et al. | 12-29-92 | |
| | | 5329447 | | Leedom, Jr. | 07-12-94 | |
| | | 5418802 | | Chwalck | 05-23-95 | |
| | | 5754840 | | Rivette et al. | 05-19-98 | |
| | | 5758095 | | Albaum et al. | 05-26-98 | |
| | | 5875431 | | Heckman et al. | 02-23-99 | |
| | | 5956687 | | Wamsley et al. | 09-21-99 | |
| | | 5987464 | | Schneider | 11-16-99 | |
| | | 6014663 | | Rivette et al. | 01-11-00 | |
| | | 6073108 | | Peterson | 06-06-00 | |
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## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL* | Cite No. 1 | Foreign Patent Document Code5 Office3 | Number4 | Kind (if known) | NAME | DATE MM-DD-YY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T6 |
|---|---|---|---|---|---|---|---|---|
| S·L | | WO | 01/08031 | | Morris et al. | 02/01/01 | | |
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EXAMINER _(signature)_  DATE CONSIDERED 07/05/2005

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1Unique citation designation number.  2Applicant is to place a check mark here if English language Translation is attached.  3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.  6Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 4

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| | |
|---|---|
| Application Number | 09/681242 |
| Filing Date | 3/5/01 |
| Applicants | Porcari |
| Group Art Unit | Not Assigned 2152 |
| Examiner Name | Not Assigned |
| Attorney Docket Number | 201-0261 |

| | Examiner Initial | Cite No. | Document Number | Kind | Title | Relevant Passages |
|---|---|---|---|---|---|---|
| 1 | S.L | | US05276869 | B1 | System for selecting document recipients as determined by technical content of document and for electronically corroborating receipt of document | |
| 2 | | | US05317683 | B1 | Method and apparatus for automated meeting agenda generation in a data processing system | |
| 3 | | | US05339356 | B1 | Virtual private network connecting system | |
| 4 | | | US05345502 | B1 | Establishment of connections between terminals for mobile subscribers of an interconnected network | |
| 5 | | | US05377355 | B1 | Method and apparatus for automated procedure initiation in a data processing system including soliciting an evaluation vote from users automatically determined in response to identification of a functional area associated with a document | |
| 6 | | | US06016318 | B1 | Virtual private network system over public mobile data network and virtual LAN | |
| 7 | | | US06029067 | B1 | Virtual private network for mobile subscribers | |
| 8 | | | US06032118 | B1 | Virtual private network service provider for asynchronous transfer mode network | |
| 9 | | | US06047325 | B1 | Network device for supporting construction of virtual local area networks on arbitrary local and wide area computer networks | |
| 10 | | | US06072795 | B1 | Communications node for transmitting data files over telephone networks | |
| 11 | | | US06078586 | B1 | ATM virtual private networks | |
| 12 | | | US06079020 | B1 | Method and apparatus for managing a virtual private network | |
| 13 | | | US06081900 | B1 | Secure intranet access | |
| 14 | | | US06092113 | B1 | Method for constructing a VPN having an assured bandwidth | |
| 15 | | | US06092200 | B1 | Method and apparatus for providing a virtual private network | |
| 16 | | | US06101543 | B1 | Pseudo network adapter for frame capture, encapsulation and encryption | |
| 17 | | | US06105027 | B1 | Techniques for eliminating redundant access checking by access filters | |
| 18 | | | US06125391 | B1 | Market makers using documents for commerce in trading partner networks | |

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|---|---|
| Application Number | 09/681242 |
| Filing Date | 3/5/01 |
| Applicants | Porcari |
| Group Art Unit | ~~Not Assigned~~ 2132 |
| Examiner Name | ~~Not Assigned~~ S. Laura |
| Attorney Docket Number | 201-0261 |

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| | Examiner Initial | Cite No. | Document Number | Kind | Title | Relevant Passages |
|---|---|---|---|---|---|---|
| 19 | S.L | | US06141409 | B1 | Method of operating a virtual private network | |
| 20 | | | US06154738 | B1 | Methods and apparatus for disseminating product information via the internet using universal product codes | |
| 21 | | | US06158011 | B1 | Multi-access virtual private network | |
| 22 | | | US06167448 | B1 | Management event notification system using event notification messages written using a markup language | |
| 23 | | | US06173399 | B1 | Apparatus for implementing virtual private networks | |
| 24 | | | US06175622 | B1 | Virtual private network for a telephone network | |
| 25 | | | US06178505 | B1 | Secure delivery of information in a network | |
| 26 | | | US06181789 | B1 | Telecommunication system and processor means, and method | |
| 27 | | | US06182029 | B1 | System and method for language extraction and encoding utilizing the parsing of text data in accordance with domain parameters | |
| 28 | | | US4977520 | B1 | Method to facilitate a reply to electronic meeting invitation in an interactive multi-terminal system employing electronic calendars | |
| 29 | | | US5315504 | B1 | Electronic document approval system | |
| 30 | | | US5404518 | B1 | System for building a user-determined database of solution documents from queries that fail within it and from the search steps that do provide a solution | |
| 31 | | | US5418908 | B1 | System for automatically establishing a link between an electronic mail item and a remotely stored reference through a place mark inserted into the item | |
| 32 | | | US5446880 | B1 | Database communication system that provides automatic format translation and transmission of records when the owner identified for the record is changed | |
| 33 | | | US5608904 | B1 | Method and apparatus for processing and optimizing queries having joins between structured data and text data | |
| 34 | | | US5628004 | B1 | System for managing database of communication of recipients | |
| 35 | | | US5675780 | B1 | Method and apparatus for storing data in database form to a compact disc using a script file to describe the input format of data | |
| 36 | | | US5721832 | B1 | Method and apparatus for an interactive computerized catalog system | |

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INFORMATION DISCLOSURE
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Sheet 3

| | Complete if Known | |
|---|---|---|
| Application Number | 09/681242 | MAY 1 4 200 |
| Filing Date | 3/5/01 | Technology Center 2100 |
| Applicants | Porcari | |
| Group Art Unit | Not Assigned 2152 | |
| Examiner Name | Not Assigned S - Lemma | |
| Attorney Docket Number | 201-0261 | |

| | Examiner Initial | Cite No. | Document Number | Kind | Title | Relevant Passages |
|---|---|---|---|---|---|---|
| 37 | S·L | | US5758126 | B1 | Customizable bidirectional EDI translation system | |
| 38 | | | US5764898 | B1 | System for task tracking and controlling electronic mail | |
| 39 | | | US5781901 | B1 | Transmitting electronic mail attachment over a network using a e-mail page | |
| 40 | | | US5794236 | B1 | Computer-based system for classifying documents into a hierarchy and linking the classifications to the hierarchy | |
| 41 | | | US5797001 | B1 | Broadcast interactive multimedia system | |
| 42 | | | US5806057 | B1 | System for managing database of communication recipients | |
| 43 | | | US5809232 | B1 | Sytem for searching unseen messages in a mail inbasket while an unsent mail distribution is composed and presenting sender with different options upon such detection | |
| 44 | | | US5809242 | B1 | Electronic mail system for displaying advertisement at local computer received from remote system while the local computer is off-line the remote system | |
| 45 | | | US5812795 | B1 | Automatic addressing of messages and message components of different media | |
| 46 | | | US5819301 | B1 | Method and apparatus for reading multi-page electronic documents | |
| 47 | | | US5832211 | B1 | Propagating plain-text passwords from a main registry to a plurality of foreign registries | |
| 48 | | | US5832220 | B1 | Automatic settting of an acknowledgement option based upon distribution content in a data processing system | |
| 49 | | | US5845067 | B1 | Method and apparatus for document management utilizing a messaging system | |
| 50 | | | US5850219 | B1 | Method and system for electronic document approval with displayed imprint | |
| 51 | | | US5852809 | B1 | System and method for routing data and communications | |
| 52 | | | US5857181 | B1 | Broadcast interactive multimedia system | |
| 53 | | | US5860074 | B1 | Method and apparatus for displaying an electronic document with text over object | |
| 54 | | | US5862323 | B1 | Retrieving plain-text passwords from a main registry by a plurality of foreign registries | |
| 55 | | | US5870717 | B1 | System for ordering items over computer network using an electronic catalog | |
| 56 | | | US6014502 | B1 | Electronic mail system with advertising | |
| 57 | | | US6014668 | B1 | Document information management system and method of generating a human-computer interactive document sheet allowing relevant information retrieval from a remote site | |
| 58 | | | US6047315 | B1 | System for task tracking and controlling electronic mail | |

| | *Complete if Known* |
|---|---|
| Application Number | 09/681242 |
| Filing Date | 3/5/01 |
| Applicants | Porcari |
| Group Art Unit | Not Assigned 2132 |
| Examiner Name | Not Assigned S. Lemma |
| Attorney Docket Number | 201-0261 |

| | Examiner Initial | Cite No. | Document Number | Kind | Title | Relevant Passages |
|---|---|---|---|---|---|---|
| 59 | S.L | | US6073148 | B1 | Displaying electronic documents with substitute fonts | |
| 60 | | | US6115709 | B1 | Method and system for constructing a knowledge profile of a user having unrestricted and restricted access portions according to respective levels of confidence of content of the portions | |
| 61 | | | US6119108 | B1 | Secure electronic publishing system | |
| 62 | | | US6137864 | B1 | Specifiable delete times for voice messaging | |
| 63 | | | US6138162 | B1 | Method and apparatus for configuring a client to redirect requests to a caching proxy server based on a category ID with the request | |
| 64 | | | US6141729 | B1 | Data transfer system which divides data among transfer units having different transfer speed characteristics | |
| 65 | | | US6154783 | B1 | Method and apparatus for addressing an electronic document for transmission over a network | |
| 66 | | | US6173311 | B1 | Apparatus, method and article of manufacture for servicing client requests on a network | |
| 67 | | | US6182118 | B1 | System and method for distributing electronic messages in accordance with rules | |
| 68 | | | US6189009 | B1 | System and method for integrating paper-based business documents with computer-readable data entered via a computer network | |
| 69 | | | US6192407 | B1 | Private, trackable URLs for directed document delivery | |